

EXECUTIVE OFFICE OF THE PRESIDENT

CHIEF OF STAFF AND HEAD OF THE PUBLIC SERVICE

Telegraphic Address
Telephone: +254-20-2227436
When replying please quote

STATE HOUSE P.O. Box 40530–00100 Nairobi, Kenya

Ref. No. OP/CAB 6/1

27th May, 20 24

and date

Dr. Raymond V.O. Omollo, PhD, CBS

Principal Secretary
State Department for Internal Security and
National Administration
Ministry of Interior and National Administration
NAIROBI

Mr. Patrick Mariru, CBS

Principal Secretary
State Department for Defence
Ministry of Defence
NAIROBI

Dr. Chris Kiptoo, PhD, CBS

Principal Secretary
The National Treasury
The National Treasury and Economic Planning
NAIROBI

Mr. Amos N. Gathecha, EBS, ndc (K)

Principal Secretary
State Department for Public Service
Ministry of Public Service, Performance & Delivery Management
NAIROBI

Eng. Joseph M. Mbugua, CBS

Principal Secretary
State Department for Roads
Ministry of Roads and Transport
NAIROBI

Mr. Mohamed A. Daghar, CBS

Principal Secretary
State Department for Transport
Ministry of Roads and Transport
NAIROBI

Hon. Generali Korir Nixon, CBS

Principal Secretary
State Department for Lands and Physical Planning
Ministry of Lands, Public Works, Housing & Urban Development
NAIROBI

Mr. Charles Hinga Mwaura, CBS

Principal Secretary
State Department for Housing & Urban Development
Ministry of Lands, Public Works, Housing & Urban Development
NAIROBI

Mr. Joel Loremoi Arumonyang

Principal Secretary
State Department Public Works
Ministry of Lands, Public Works, Housing & Urban Development
NAIROBI

Prof. Edward W. Kisiang'ani, PhD, CBS

Principal Secretary
State Department for Broadcasting & Telecommunication
Ministry of Information, Communication and the Digital Economy
NAIROBI

Eng. John K. Tanui, MBS

Principal Secretary
State Department for ICT and the Digital Economy
Ministry of Information, Communication and the Digital Economy
NAIROBI

Mr. Harry Kimutai, CBS

Principal Secretary
State Department for Medical Services
Ministry of Health
NAIROBI

Ms. Mary Muthoni Muriuki, CBS

Principal Secretary
State Department for Public Health and Professional Standards
NAIROBI

Dr. Belio R. Kipsang, PhD., CBS

Principal Secretary
State Department for Basic Education
Ministry of Education
NAIROBI

Dr. Esther T. Muoria, PhD

Principal Secretary
State Department for Technical, Vocational Education and Training (TVET)
Ministry of Education
NAIROBI

Dr. Beatrice M. Inyangala, PhD

Principal Secretary
State Department for Higher Education and Research
Ministry of Education
NAIROBI

Dr. Paul K. Ronoh PhD

Principal Secretary
State Department for Agriculture
Ministry of Agriculture and Livestock Development
NAIROBI

Hon. Jonathan M. Mueke, CBS

Principal Secretary
State Department for Livestock Development
Ministry of Agriculture and Livestock Development
NAIROBI

Mr. Abubakar Hassan Abubakar

Principal Secretary
State Department for Investments Promotion
Ministry of Investments, Trade and Industry
NAIROBI

Mr. Alfred Ombudo KÓmbudo

Principal Secretary
State Department for Trade
Ministry of Investments, Trade and Industry
NAIROBI

Dr. Juma Mukhwana, PhD, CBS

Principal Secretary
State Department for Industry
Ministry of Investments, Trade and Industry
NAIROBI

Mr. Patrick K. Kilemi

Principal Secretary
State Department for Co-operatives
Ministry of Co-operatives and Micro, Small and Medium Enterprises (MSMEs)
Development

NAIROBI

Ms. Susan A. Mang'eni

Principal Secretary
State Department for Micro, Small and
Medium Enterprises (MSMEs) Development
Ministry of Co-operatives and Micro, Small and Medium Enterprises (MSMEs)
Development

NAIROBI

Mr. Ismail Maalim Madey

Principal Secretary
State Department for Youth Affairs and Creative Economy
Ministry of Youth Affairs and Sports
NAIROBI

Eng. Peter Kiplagat Tum, CBS

Principal Secretary
State Department for Sports
Ministry of Youth Affairs and Sports
NAIROBI

Dr. Eng. Festus K. Ng'eno, PhD, MIEK

Principal Secretary
State Department for Environment and Climate Change
Ministry of Environment, Climate Change and Forestry
NAIROBI

Mr. Gitonga Mugambi, EBS

Principal Secretary
State Department for Forestry
Ministry of Environment, Climate Change and Forestry
NAIROBI

Mr. John Lekakeny Ololtuaa, CBS

Principal Secretary
State Department for Tourism
Ministry of Tourism, Wildlife and Heritage
NAIROBI

Ms. Museiya Silvia Kihoro, CBS

Principal Secretary
State Department for Wildlife
Ministry of Tourism, Wildlife and Heritage
NAIROBI

Ms. Ummi Mohamed Bashir, CBS

Principal Secretary
State Department for Culture, The Arts and Heritage
Ministry of Gender, The Arts and Heritage
NAIROBI

Mr. Julius K. Korir, CBS

Principal Secretary
State Department for Water & Sanitation
Ministry of Water, Sanitation & Irrigation
NAIROBI

Mr. Ephantus K. Kimani, CBS

Principal Secretary
State Department for Irrigation
Ministry of Water, Sanitation & Irrigation
NAIROBI

Mr. Alex K. Wachira, CBS

Principal Secretary
State Department for Energy
Ministry of Energy and Petroleum
NAIROBI

Mr. Shadrack M. Mwadime, EBS

Principal Secretary
State Department for Labour & Skills Development
Ministry of Labour and Social Protection
NAIROBI

Mr. Mogosi J. Motari, MBS

Principal Secretary
State Department for Social Protection and
Senior Citizen Affairs
Ministry of Labour and Social Protection
NAIROBI

Mr. Elijah G. Mwangi, CBS

Principal Secretary
State Department for Mining
Ministry of Mining, Blue Economy and Maritime Affairs
NAIROBI

Ms. Betsy M. Njagi

Principal Secretary
State Department for the Blue Economy & Fisheries
Ministry of Mining, Blue Economy and Maritime Affairs
NAIROBI

Mr. Geoffrey E. Kaituko, ACIARB

Principal Secretary
State Department for Shipping & Maritime Affairs
Ministry of Mining, Blue Economy and Maritime Affairs
NAIROBI

Hon. Shadrack Mose

Solicitor General
Office of the Attorney General and Department of Justice
State Law Office
NAIROBI

RE: SUBMISSION OF BI-ANNUAL REPORTS IN ADVANCE OF THE APRIL 2025 ANNUAL CONFERENCE ON THE REVITALISATION AND RE-ENERGISATION OF REGULATORY AUTHORITIES AND AGENCIES (RAA)

Following the Interactive and Consultative Conference held on Thursday, 11 and Friday, 12 April 2024 at the Kenya School of Government, Mombasa, that brought together Principal Secretaries and the RAA under their ward, you are hereby required to comply with the following in the run up to the 2025 Annual Conference.

Submission of Bi-annual Reports by each of the 125 RAA to their relevant Standing Committee on the Revitalisation and Re-energisation of RAA in the State Departments, with a copy to this Office

A soft copy of the bi-annual reporting tool for RAA has been uploaded on to the Downloads section of the Chief of Staff and Head of the Public Service's Website at https://www.headofpublicservice.go.ke/downloads titled, 'Regulatory Authorities and Agencies Reporting Tool FY 2024/2025.'

The dates for submission by RAA of the above stated bi-annual reports are as follows:

- i. Thursday, 31st October 2024; and
- ii. Monday, 31st March 2025.

2. Submission of Analytical Reports by the Standing Committees on the Revitalisation and Re-energisation of RAA to this Office

Within Seven (7) working days of receipt of the reports in No. 1 above, Standing Committees must submit a report to this Office analysing the performance of each RAA under their ward, and explaining any incidences of below par performance.

In light of the above, the Standing Committees are expected to work with the RAA under their ward continuously in order to post the exemplary results expected in respect of the campaign to Revitalise and Re-energise RAA so as to achieve the goal of premier service delivery for all Kenyans.

The dates for submission by the Standing Committees of the above stated bi-annual reports are as follows:

- i. Monday, 11th November 2024; and
- ii. Wednesday, 9th April 2025.

Soft copies of the above reports must be submitted to the email address provided below.

The Contact Person for this exercise from this Office is:

Dr. Linda Musumba, MBS
Head — Department of Audit, Legal and Regulatory Compliance
Tel. No. 020 2227411 Extn. 22078
Mobile Number: 0722 229 229

Email Address: alrcoffice@headofpublicservice.go.ke

Principal Secretaries should bring the content of this communication to the attention of their Standing Committees on the Revitalisation and Re-energisation of RAA, and the Regulatory Authorities and Agencies under their ward for their immediate further action.

Your diligence and cooperation in the execution of this assignment will enable the timely achievement of the goals of this Government.

FELIX K. KOSKEI, EGH

CHIEF OF STAFF AND HEAD OF THE PUBLIC SERVICE

Encl: (Regulatory Authorities and Agencies Reporting Tool FY 2024/2025)

NB. The list of Regulatory Authorities and Agencies can be obtained from the Website of the Chief of Staff and Head of the Public Service

Copy to: The Hon. Attorney-General

Respective Cabinet Secretaries

Secretary, State Corporations Advisory Committee

Ag. Inspector General of State Corporations

Chair of Council of Governors (for information only)



EXECUTIVE OFFICE OF THE PRESIDENT OFFICE OF THE CHIEF OF STAFF AND HEAD OF THE PUBLIC SERVICE REGULATORY AUTHORITIES AND AGENCIES REPORTING TOOL FY 2024/2025

To: All Chairpersons and Chief Executive Officers of Regulatory Authorities and Agencies

Purpose of this Tool

The purpose of this tool is to enable the Office of the Chief of Staff and Head of the Public Service (COS&HOPS) to track, monitor and evaluate the performance of Regulatory Authorities and Agencies (RAA) towards the achievement of the achievement of the Kenya Kwanza Government's goal of premier service delivery for all Kenyans. This is in line with the mandate of COS&HOPS as outlined in the Executive Order No. 2 of 2023, which is to among other responsibilities:

- Promote ethics, good governance, efficiency, and effectiveness in the provision of public services through the deployment of right skills, values, and leadership in the Kenyan Public Service; and
- In liaison with Cabinet Secretaries and Principal Secretaries, oversee the administration of all state corporations and established public entities, as well as facilitate the resolution of operational challenges and major policy concerns as escalated.

An analysis of the responses from this tool will provide an evidence base for the Office of COS&HOPS to work together with Principal Secretaries; Standing Committees on the Revitalisation and Re-energisation of RAA; RAA; and all other relevant stakeholders to ensure optimal performance by RAA in the execution of their core mandates. This is in line with the Kenya Kwanza Government's prioritization of its responsiveness to the needs of the public in a transparent and accountable manner in accordance with the Bottom-up Economic Transformation Agenda (BETA).

Your Role as Chairpersons and Chief Executive Officers:

Upon receipt of this tool, Chairpersons and Chief Executive Officers of respective RAAs are requested to ensure that it is filled accurately and honestly.

The Role of Standing Committees on the Revitalisation and Re-energisation of RAA To work actively and collaboratively with the RAA under their ward to achieve optimal performance.

The Role of Principal Secretaries

To provide overall leadership and management of the process, full details of which are within their knowledge.

Regulatory Authorities and Agencies Reporting Tool FY 2024/2025 – Office of COS &HOPS – May 2024



1. DETAILS OF REGULATORY AUTHORITY/AGENCY

- i. Name of Regulatory Authority/Agency:
- ii. Geographical Location of the Regulatory Authority/Agency and Branches, if any:
- iii. Official Contact Details: (Telephone and Email Address)
- iv. Name of Chairperson of Board: (indicate whether the Chairperson is Executive or Non-Executive)
- v. Name of Chief Executive Officer:
- vi. What Sector of the Economy is your Regulatory Authority/Agency concerned with?
- vii. Is your Organisation both a regulator and an implementer?
- viii. Where does your organisation derive its mandate (Statute; Regulation; Executive Order; Legal Notice; Others provide details):
- ix. Total Number of Board Members including Details of:
 - a. Substantive Board Members;
 - b. Ex-Officio Board Members;
 - c. Representatives of Government Institutions state the Total Number;
 - d. Number of Independent Board Members;
 - e. Number of Board Members who are stakeholder nominees;
 - f. If the number of Substantive Board Members exceeds 9, provide the justification.



2. REGULATORY MANDATE

- i. Based on the legal instrument through which you derive your mandate, what is your **core regulatory mandate** (what do you principally exist to do)
- ii. What is the geographical scope/reach of your regulatory mandate?
- iii. As a regulator, who and/or what types of persons/entities do you principally superintend/ supervise/regulate in the execution of your core regulatory mandate?

3. TOOL USED TO CARRY OUT REGULATORY MANDATE

Do you have a tool to enable you to carry out your regulatory role in respect of the entities/persons you regulate?

i. YES [] if Yes:

- a) Provide a copy.
- b) Has the tool been peer reviewed?/subjected to stakeholder engagement?/ and/or validated?

YES [] (if Yes, provide details)

NO [] (if No, provide reasons)

c) Have you disseminated your tool to the entities/persons you regulate and have they been trained virtually or physically on your expectations in respect of the tool?

YES[]

NO []

ii. NO [] if No:

- a) Explain why not.
- b) Explain how you carry out your regulatory role without a tool.

Regulatory Authorities and Agencies Reporting Tool FY 2024/2025 – Office of COS &HOPS – May 2024



4. (i) POWERS/AUTHORITY TO REGULATE

As a Regulator, what power(s)/authority do you possess to carry out your regulatory mandate in accordance with the legal instrument through which you are constituted (e.g. set norms and standards; issuance/renewal/denial/cancellation of permits and licences; setting of prices; fines and penalties; surcharge; suspension; censure; seizure; confiscation; stoppage of offending action; inspection; certification; etc.) – Provide a list

For example:

- a) Issuance of permits
- b) Certification
- c) Cancellation
- d) Fines
- e) Suspension

Etc.

[NB: Your regulatory power(s)/authority may affect the entities/persons you regulate positively or negatively].

4. (ii) FREQUENCY OF DEPLOYMENT OF THE POWERS/AUTHORITY TO REGULATE LISTED IN 4 (i) ABOVE

S/No.	Power(s)/ Authority	May 2024	June 2024	July 2024	August 2024	September 2024	October 2024
a)	Issuance of permits	1150	720	22	98	449	39
b)	Certification	216	802	563	601	791	49
c)	Cancellation	0	0	3	74	38	100
d)	Fines	61	546	881	634	401	198
e)	Suspension	3	35	12	78	29	13
Etc.							

^{*}The details in the table above are only but an example to demonstrate how the same should be filled out by RAA based on their context.

Regulatory Authorities and Agencies Reporting Tool FY 2024/2025 – Office of COS &HOPS – May 2024



Key to columns of the table above:

S/No. – should correspond to the list provided in 4 (i) above.

Power(s)/Authority - should correspond to the list provided in 4 (i) above.

May 2024 to October 2024 – provide details in numbers only as shown in the example above.

The Standing Committees on the Revitalisation and Re-energisation of RAA must interrogate the details pertaining to the figures indicated in the table above as part of the process of assisting the RAA to continuously enhance their performance to optimal levels.

5. IMPACT OF THE EXERCISE OF YOUR REGULATORY MANDATE

State at least four (4) clear ways in which the deployment of your power(s)/authority to regulate as detailed in your responses to No. 4 (i) and (ii) above has impacted on the quality/quantity of service delivery to Kenyans.

For example:

- a) Increased or reduced a specific factor by x%;
- b) Introduced a new habit/behaviour that promotes the achievement of premier service delivery;
- c) Promoted the ease of doing business in y sector thereby raising the income earned by businesses to Kshs. x, and by extension increase of tax revenue for the State to Kshs. x;
- d) Prevented the loss of public resources to the tune of Kshs. x.